



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/801,746	03/15/2004	Klaus Kaiser	Bayer 10271-WCG

Norris, McLaughlin & Marcus P.A.  
30th Floor  
220 East 42nd Street  
New York, NY 10017

CONFIRMATION NO. 3450

## FORMALITIES LETTER

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100  
\*OC000000012850218\*

Date Mailed: 06/03/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

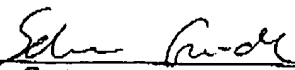
Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

08/05/2004 HTECKLU1 00000093 141263 10801746  
01 FC:1051 130.00 DA

*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**FAX COVER SHEET**

Norris, McLaughlin & Marcus  
220 East 42<sup>nd</sup> Street  
New York, NY 10017  
Facsimile (212) 808-0844  
Telephone (212) 808-0700

August 3, 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

MAIL STOP MISSING PARTS

FAX NUMBER 703-746-4060

TOTAL PAGES 8 (Plus Fax Cover)

SERIAL NO. : 10/801,746

APPLICANT : Dr. Klaus KAISER et al

FILED : March 15, 2004

FOR : APPARATUS AND PROCESS FOR STERILIZATION OF LIQUID  
MEDIA BY MEANS OF UV IRRADIATION AND SHORT-TIME  
HEAT TREATMENT

ART UNIT : 1651


EXAMINER : To be assigned

ATTORNEY  
DOCKET NO. : Bayer 10271-WCG

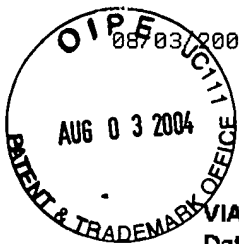
**Comments:** Attached are

1. Response to Notice to File Missing Parts - **CHARGE DEPOSIT ACCOUNT \$130** (2 pages)
2. copy of PTO Notification of Missing Parts (2 pages)
3. Executed Declaration (2 pages)
4. Paper Under 37 CFR 1.32(C)(3) Indicating Which  
Ten Patent Practitioners Are To Be Recognized (2 pages)

Respectfully submitted,



William C. Gerstenzang  
Reg. No. 27,552



08703/2004 02:22 2128080844

NORRIS MCLAUGHLIN

PAGE 02/09

VIA FACSIMILE: 703-746-4060

Date: August 3, 2004

Attorney Docket: Bayer 10271-WCG

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Dr. Klaus KAISER et al  
Serial No. : 10/801,746  
Filed : March 15, 2004  
For : APPARATUS AND PROCESS FOR STERILIZATION OF  
LIQUID MEDIA BY MEANS OF UV IRRADIATION AND  
SHORT-TIME HEAT TREATMENT  
Art Unit : 1651  
Examiner : To be assigned

August 3, 2004

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R:

COMMUNICATION RE: FILING OF DECLARATION ON  
A DATE LATER THAN THE FILING DATE OF THE APPLICATION

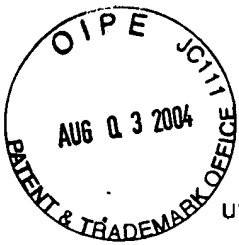
This is in response to the Notice to File Missing Parts dated June 3, 2004, in the above-identified application. A copy of said Notice is attached hereto.

It is respectfully requested that the enclosed declaration submitted herewith be joined up with the papers previously filed in this Application.

Applicant is:

☐ a small entity

☒ other than a small entity



It is requested that the surcharge for the filing of the Declaration be charged to the undersigned's Deposit Account No. 14-1263.

Please charge:

- ☐ \$ 65.00 for small entity  
☒ \$130.00 for other than small entity.

CONDITIONAL PETITION FOR EXTENSION OF TIME

If any extension of time for this response is required, applicant requests that this be considered a petition therefor. Please charge the required Petition fee to Deposit Account No. 14-1263.

ADDITIONAL FEE

Please charge any insufficiency of fees, or credit any excess to our Deposit Account No. 14-1263.

Respectfully submitted,

NORRIS, McLAUGHLIN & MARCUS, P.A.

By 

William C. Gerstenzang  
Reg. No. 27,552

WCG/jh  
Encs. - executed Declaration

220 East 42nd Street - 30th Floor  
New York, New York 10017  
(212) 808-0700

I hereby certify that this correspondence is being transmitted via facsimile, no. 703-748-4060 to the United States Patent and Trademark Office, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 3, 2004.

By 

Julie Harting  
Date August 3, 2004